

Whistleblower Policy

McMillan Shakespeare Group of Companies



Table of Contents

1.	Introduction	3
2.	Scope	3
3.	Who does this Policy apply to?	3
4.	What sort of concerns should be reported?	3
5.	Who can you make a report to?	4
6.	Internal Reporting Procedure	4
7.	External Reporting Procedure	4
8.	What happens to you as a whistleblower?	4
9.	Consent and Confidentiality	5
10.	What happens after a report is made?	5
Annexure A - Contact details for Senior Management to whom Whistleblower complaints can be made:		6
Annexure B - Contact details of Your-Call Disclosure Management Services to whom Whistleblower complaints can be made:		6

Whistleblower Policy

1. Introduction

McMillan Shakespeare Limited (MMS) is a company listed on the Australian Stock Exchange, and has responsibilities to its shareholders, employees, directors, clients and regulators to comply with applicable laws and legislation. One of the responsibilities of the Board includes oversight of compliance with regulatory, ethical and prudential requirements.

This Whistleblower Policy has been adopted to ensure that people can raise concerns in good faith regarding actual or suspected improper conduct or malpractice by an employee or employees of MMS without fear of reprisal or feeling threatened by doing so.

This policy aims to:

- Encourage employees to report an issue in good faith if they genuinely suspect improper conduct or malpractice;
- Outline how MMS will deal with all reported suspected cases of misconduct or malpractice; and
- Assist in ensuring that serious misconduct or malpractice is identified and dealt with appropriately.

2. Scope

This Policy applies to all business units of McMillan Shakespeare Limited (MMS) and to all persons employed by or representing the McMillan Shakespeare Group (MSG). The McMillan Shakespeare Group includes:

- McMillan Shakespeare Limited ACN 107 233 983 (parent company)
- Maxxia Pty Ltd ACN 082 449 036
- Remuneration Services (QLD) Pty Ltd ACN 093 173 089
- Interleasing (Australia) Limited ACN 008 589 562
- TVPR Pty Ltd ACN 008 596 290 (trading as Holden Leasing)
- Maxxia Limited (NZ Company No. 2404936)

3. Who does this Policy apply to?

This Policy applies to all directors, employees, officers and contractors of MMS, whether full time, part time or casual at any level of seniority wherever employed. The Board and Management of MMS encourages its staff to report any genuinely suspected improper conduct or malpractice as it gives the Group an opportunity to take corrective measures to remedy it.

The Board reiterates that any employee, who raises a concern in good faith, will not be discriminated against in their employment with MMS.

4. What sort of concerns should be reported?

Whistleblowing is not about airing a grievance. All employees are encouraged to report any genuine suspected matters or behaviours of serious mis-conduct or malpractice that they honestly believe contravene MMS's Code of Conduct, policies or the law. For the purposes of making a report under this Policy, matters may include any actual or suspected;

- Conduct or practices which breach a law;
- Corrupt activities;
- Theft, fraud or misappropriation;

- Significant mismanagement or waste of funds or resources;
- Activity that poses a serious harm to public health, safety or environment;
- Any action taken against, or harm suffered by an employee as a result of making a report under this policy.

5. Who can you make a report to?

If you become aware of any matter or behavior which you think contravenes MMS' Code of Conduct, policies or the law, then you have the option of reporting it internally to one of the designated senior management of MMS whom you are comfortable reporting this to.

Alternatively you can report this externally to **Your-Call Disclosure Management Services** which is an external and independent agency appointed by the MMS Board to assist you in reporting your concerns.

6. Internal Reporting Procedure

Internal reporting of a suspected malpractice can be made to any one of the designated employees below:

- (1) take the matter up with your immediate supervisor or their manager or;
- (2) report the matter to the Group Executive Human Resources, Suzanne Peddington or the Human Resources Manager Kate Hamilton (RemServ) or the General Counsel Christine Harman; or
- (3) report it to the Chairman of the audit committee and the MMS Board – Mr. Ronald Pitcher.

Refer to **Annexure A** for contact details of above people.

7. External Reporting Procedure

In the event you are not comfortable raising the malpractice internally with one of the designated employees, you can confidently and easily report it to the company's external independent whistleblower **Your-Call Disclosure Management Services**, at a time convenient to you, without fear of identification, retribution or reprisal.

Reporting to **Your-Call Disclosure Management Services** can be done by logging in to their website www.whistleblowing.com.au or www.your-call.com.au and clicking on the "Click here to Make a Secure Report" button on the right hand side of the screen.

This will direct you to <https://www.whistleblowing.com.au/report>. Simply follow the prompts after entering the MMS unique identifier code **MCMS0000**. You will be asked to report the malpractice on an electronic form which is entirely between you and Your-Call. Your identity, information and privacy will be protected by secure 256-bit encryption and you will be able to upload documentation and/or other evidence that you may have to support your information.

To protect the confidentiality of the information you can either.

- (1) remain completely anonymous; or
- (2) disclose your identity only to Your-Call Disclosure Management Services and they will not disclose your identity to MMS until they have your permission or until required by law; or
- (3) disclose your identity to both Your-Call Disclosure Management Services and to MMS.

Refer to **Annexure B** for details on how to log onto Your-Call Disclosure Management Services website to report the malpractice.

8. What happens to you as a whistleblower?

The Board encourages you to identify yourself when you report a breach of the Corporations Act since that enables you to qualify for protection under the law as a whistleblower. If you do not want to reveal your identity, you can still report anonymously.

Once a report is made and if you have disclosed your identity, it becomes a “Protected Disclosure” which means your identity will remain confidential and provided you have acted in good faith you cannot be discriminated against or victimised or disadvantaged in your employment with MMS.

Whistleblowing is not about airing a grievance. It is about reporting real or perceived malpractice. If you report is not made in good faith or is found to be malicious, deliberately misleading or frivolous, you may be subject to disciplinary action including dismissal.

9. Consent and Confidentiality

MMS may have to disclose the information you have provided to regulatory authorities without your consent.

10. What happens after a report is made?

In the event you have reported the malpractice to Your-Call Disclosure Management Services, they will analyse the complaint, upload it to the MMS area of their website along with a suggested course of action, within one business day of them receiving the complaint. This will be viewed by an authorized MMS employee who will then investigate the complaint internally.

The investigation and resolution of the suspected improper conduct or malpractice may have to be delegated to another employee of the company in which case you consent to the report and any information contained therein being revealed to the person who will carry out the investigation. In case you object to this, it may not be possible for MMS to conduct the investigation.

Any MMS employee related to the allegation will be specifically excluded from conducting the investigation. All reports will be the subject of a thorough investigation with the objective of locating evidence that either substantiates or refutes the claims made by you. Investigations will be fair and independent.

Once the investigation is completed, and if you have chosen to identify yourself as the whistleblower, feedback will be provided to you as to the outcome of the investigation.

Once the malpractice report has been received by MMS, a copy will be forwarded to the Audit Committee. The Audit Committee must be kept informed of the progress of the investigation and the final outcome. Once the investigation is complete, the Audit Committee will review this incident and consider remedial action in relation to MMS regulatory obligations. Their recommendations will be then forwarded to the Board for review and implementation.

<i>Document Owner</i>	<i>Group Chief Financial Officer and Group Executive Human Resources</i>
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Annexure A - Contact details for Senior Management to whom Whistleblower complaints can be made:

Name	Designation	Direct Telephone Number	Email id
Mr Ronald Pitcher	Chairman of the MMS Board and the Audit Committee		
Ms. Christine Harman	General Counsel	(03) 9097 3318	christine.harman@mmsg.com.au
Ms. Suzanne Peddington	Group Executive, Human Resources	(03) 9097 3183	suzanne.peddington@mmsg.com.au
Ms. Kate Hamilton	Manager, Human Resources (RemServ)		

Annexure B - Contact details of Your-Call Disclosure Management Services to whom Whistleblower complaints can be made:

Name	Description
Website	www.whistleblowing.com.au or www.your-call.com.au
Login Name	MCMS0000